

WO 02/07647 A2



IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**Published:**

— *without international search report and to be republished upon receipt of that report*

1.

MODULAR CONNECTION FOR ORTHOPEDIC COMPONENTReference To Pending Prior Applications

This application claims benefit of:

- 5           (1) pending prior U.S. Provisional Patent  
Application Serial No. 60/219,955, filed 07/20/00 by  
Alfred S. Despres III et al. for MODULAR ORTHOPEDIC  
CONNECTION (Attorney's Docket No. HAYES-1 PROV); and
- (2) pending prior U.S. Provisional Patent
- 10          Application Serial No. 60/219,963, filed 07/20/00 by  
Alfred S. Despres III et al. for FORCE COUPLE  
CONNECTION (Attorney's Docket No. HAYES-2 PROV).

The two above-identified patent applications are  
hereby incorporated herein by reference.

15

Field Of The Invention

This invention relates to surgical apparatus and  
procedures in general, and more particularly to  
orthopedic components.

- 2 -

Background Of The Invention

Orthopedic components are well known in the art.

For example, in joint replacement surgery,  
5 portions of a joint are replaced with orthopedic  
components so as to provide long-lasting function and  
pain-free mobility. More particularly, in the case  
of a prosthetic total hip joint, the head of the femur  
is replaced with a prosthetic femoral stem component,  
10 and the socket of the acetabulum is replaced by a  
prosthetic acetabular cup component, whereby to  
provide a prosthetic total hip joint. Similarly, in  
the case of a prosthetic total knee joint, the top of  
the tibia is replaced by a prosthetic tibial  
15 component, and the bottom of the femur is replaced by  
a prosthetic femoral component, whereby to provide a  
prosthetic total knee joint.

Orthopedic components are also used in a variety  
of other ways. For example, orthopedic components may  
20 be used to stabilize a fractured bone, or to secure

- 3 -

two vertebral bodies together, or to hold a bone graft to a bone, or to secure soft tissue to a bone, etc.

In many situations, an orthopedic component may comprise two or more elements which may need to be secured to one another. By way of example, in the case of a prosthetic total hip joint, the prosthetic femoral stem component is sometimes constructed out of a plurality of separate elements, wherein each of the elements may be independently selected so as to most closely approximate patient anatomy, and wherein the separate elements may be assembled to one another using modular connections, so as to provide the best possible prosthetic femoral stem component for the patient. Similarly, in the case of a prosthetic total knee joint, the prosthetic tibial component is also sometimes formed out of a plurality of separate elements which are assembled using modular connections. Still other types of orthopedic components may require, or may benefit from, the assembly of a plurality of separate elements using modular connections.

- 4 -

Once deployed in the patient's body, the orthopedic components, and hence the modular connections securing the separate elements to one another, are typically subjected to axial, bending and torsional loads. While different types of modular connections are known in the art, no one type of existing modular connection is ideal for dealing with all three types of loads, i.e., axial, bending and torsional loads. By way of example, taper connections generally accommodate axial (i.e., compressive) loads well, but they generally do not accommodate bending and torsional loads particularly well. By way of further example, concentric cylinder connections generally accommodate bending loads well, but they generally do not accommodate axial and torsional loads particularly well.

#### Summary Of The Invention

As a result, one object of the present invention is to provide an improved modular connection for

- 5 -

connecting together a plurality of separate elements so as to form an orthopedic component.

Another object of the present invention is to provide an improved orthopedic component.

5        These and other objects are addressed by the provision and use of the present invention.

In one form of the invention, there is provided an improved modular connection for connecting together a plurality of separate elements so as to form an  
10        orthopedic component, the improved modular connection comprising, in combination, a taper junction and an engaged-fit junction.

In another form of the invention, there is provided an improved orthopedic component comprising a  
15        first element and a second element, with the first element and the second element being secured to one another with a modular connection, wherein the modular connection comprises, in combination, a taper junction and an engaged-fit junction.

20

- 6 -

Brief Description Of The Drawings

These and other objects and features of the present invention will be more fully disclosed or rendered obvious by the following detailed description  
5 of the preferred embodiments of the invention, which are to be considered together with the accompanying drawings wherein like numbers refer to like parts and further wherein:

Fig. 1 is a schematic, exploded side view of one  
10 form of modular connection formed in accordance with the present invention;

Fig. 2 is a schematic, exploded side view of another form of modular connection formed in accordance with the present invention;

15 Fig. 3 is a schematic, exploded side view of still another form of modular connection formed in accordance with the present invention;

Fig. 4 is a schematic, exploded side view of yet another form of modular connection formed in  
20 accordance with the present invention; and



- 7 -

Fig. 5 is a schematic, exploded side view of another form of modular connection formed in accordance with the present invention.

5     Detailed Description Of The Preferred Embodiments

Looking first at Fig. 1, there is shown an orthopedic component 5 formed in accordance with the present invention. Orthopedic component 5 may comprise a prosthetic femoral stem component of the sort used in a prosthetic total hip joint and comprising a plurality of separate elements which are assembled using a modular connection; or orthopedic component 5 may comprise a prosthetic tibial component of the sort used in a prosthetic total knee joint and comprising a plurality of separate elements which are assembled using a modular connection; or orthopedic component 5 may comprise any other type of orthopedic component which may require, or which may benefit from, the assembly of a plurality of separate elements using a modular connection.

10

15

20

- 8 -

Orthopedic component 5 generally comprises a first element 10 and a second element 15. First element 10 includes an aperture 20 into which portions of second element 15 extend.

5 In accordance with the present invention, first element 10 and second element 15 are adapted to be secured to one another using an improved modular connection 25 so as to form the complete orthopedic component 5.

10 More particularly, modular connection 25 comprises, in combination, two load-bearing junctions: a taper junction 30 and an engaged-fit junction 35.

Taper junction 30 is formed by the interaction of a first taper 40 with a corresponding  
15 second taper 45. More particularly, first taper 40 is formed on a projection 50 of second element 15. Second taper 45 is formed along a portion of the sidewall defining the first body element's aperture  
20 20. First taper 40 and second taper 45 seat securely against one another so as to together form the load-bearing taper junction 30.

- 9 -

The engaged-fit junction 35 is formed by the interaction of a first concentric wall 55 with a second concentric wall 60. More particularly, first concentric wall 55 is formed on projection 50 of second element 15. Preferably first concentric wall 55 is disposed on projection 50 coaxial with, and distal to, first taper 40. Second concentric wall 60 is formed along a portion of the sidewall defining the first element's aperture 20. Preferably second concentric wall 60 is disposed on first element 10 coaxial with, and distal to, second taper 60. First concentric wall 55 and second concentric wall 60 seat securely against one another so as to form the load-bearing engaged-fit junction 35.

In general, the engaged-fit junction 35 is a mechanical connection that achieves stability by the deformation of one member so that it is pressure locked against a constraining second member. This deformation can be expansion (e.g., as in a taper expanded collet) or contraction (e.g., as in a force fit). The deformation can also be effected by thermal

- 10 -

expansion or thermal contraction (e.g., as with a shape memory alloy such as Nitinol or the like). Regardless of how the deformation is achieved, the resulting mechanical connection has surfaces which are  
5 forcefully engaged against one another as a result of the deformation, whereby to establish the engaged-fit junction.

As noted above, there are a number of ways in which first concentric wall 55 and second concentric  
10 wall 60 can be made to seat securely against one another so as to form the load-bearing engaged-fit junction 35.

For example, first concentric wall 55 can be made slightly oversized relative to second concentric wall  
15 60, such that force fitting first concentric wall 55 internal to second concentric wall 60 will create the engaged-fit junction 35.

Alternatively, and in accordance with a preferred form of the present invention, the distal end of the  
20 second element's projection 50 may be formed with a recess 65, and the proximal end of third element 70

- 11 -

may include a projection 75 for insertion into recess  
65. More particularly, projection 75 is oversized  
relative to recess 65, such that insertion of  
projection 75 into recess 65 will cause a radial  
5 expansion of first concentric wall 55 into engagement  
with second concentric wall 60, whereby to create the  
engaged-fit junction 35. In one preferred form of the  
invention, recess 65 and projection 75 are both  
tapered, and the distal end of second element 15 is a  
10 split collet. Alternatively, the distal end of second  
element 15 may be formed out of a material  
sufficiently resilient to engage second concentric  
wall 60 without being split.

Due to the unique construction of modular  
15 connection 25, orthopedic component 5 is able to  
accommodate axial, bending and torsional loads better  
than prior art devices. More particularly, modular  
connection 25 simultaneously provides two  
load-bearing junctions: the taper junction 30 and the  
engaged-fit junction 35. The taper junction 30  
20 accommodates axial (i.e., compressive) loads extremely

- 12 -

well. At the same time, the engaged-fit junction 35 accommodates bending and torsional loads extremely well. Additionally, the engaged-fit junction 35 stabilizes the taper junction 30 against bending and torsional loads. Together, the two load-bearing junctions collectively handle axial, bending and torsional loads significantly better than prior art devices.

Looking next at Fig. 2, there is shown an alternative form of construction. Here, the aperture 20 comprises a blind hole formed in first element 10, and third element 70 extends through an opening 80 formed in second element 15 and communicating with recess 65, with engaged-fit junction 35 being actuated by pulling proximally on third element 70 once first taper 40 has been seated against second taper 45.

Looking next at Fig. 3, there is shown another alternative form of construction. Here, the taper junction 30 and the engaged-fit junction 35 are disposed parallel to one another, rather than coaxial with one another as shown in Figs. 1 and 2. To this

- 13 -

end, aperture 20 comprises a pair of parallel apertures 20, and projection 50 comprises a pair of parallel projections 50.

Looking next at Fig. 4, there is shown still  
5 another alternative form of construction. Here, the taper junction 30 and the engaged-fit junction 35 are disposed coaxial and to at least some extent overlap with one another, rather than being axially separated in the manner shown in Fig. 2.

10 Looking next at Fig. 5, there is shown yet another form of construction. Here, third element 70 is in the form of a ring and is used to drive second element 15 inward so as to effect the engaged-fit junction 35 between first concentric wall 55 and  
15 second concentric wall 60. Preferably this is effected by providing second element 15 with a taper surface 85 and third element 70 with a corresponding taper surface 90. In use, first element 10 and second element 15 are brought together so first taper 40  
20 engage second taper 45 and so that first concentric wall 55 is adjacent to second concentric wall 60, and

- 14 -

then third element 70 is moved toward second element  
15 so that the engagement of taper surface 85 with  
taper surface 90 causes first concentric wall 55 to  
securely engage second concentric wall 60, whereby to  
5 actuate the engaged-fit junction 35.

It will be understood that many additional  
changes in the details, materials, steps and  
arrangement of parts, which have been herein described  
and illustrated in order to explain the nature of the  
10 invention, may be made by those skilled the art  
without departing from the principles and scope of the  
present invention.



- 15 -

What Is Claimed Is:

1. A modular connection for connecting together  
a plurality of separate elements so as to form an  
5 orthopedic component, said modular connection  
comprising, in combination, a taper junction and an  
engaged-fit junction.
2. A modular connection according to claim 1  
10 wherein said taper junction is formed by the  
interaction of a first taper with a second taper.
3. A modular connection according to claim 2  
wherein said second taper is formed along a portion of  
15 a sidewall defining an aperture in a first element,  
and said first taper is formed on a projection of a  
second element.
4. A modular connection according to claim 1  
20 wherein said engaged-fit junction is formed by the

- 16 -

interaction of a first concentric wall with a second concentric wall.

5           5.    A modular connection according to claim 4  
          wherein said second concentric wall is formed along a  
          portion of a sidewall defining an aperture extending  
          in a first element, and said first concentric wall is  
          formed on a projection of a second element.

10           6.    A modular connection according to claim 1  
          wherein:

          said taper junction is formed by the interaction  
          of a first taper with a second taper, with said second  
          taper being formed along a portion of a sidewall  
15       defining an aperture in a first element, and said  
          first taper being formed on a projection of a second  
          element; and

          said engaged-fit junction is formed by the  
          interaction of a first concentric wall with a second  
20       concentric wall, with said second concentric wall  
          being formed along a portion of a sidewall defining an

- 17 -

aperture extending in a first element, and said first concentric wall is formed on a projection of a second element.

5           7. A modular connection according to claim 6 wherein said first concentric wall is disposed on the projection of the second element coaxial with, and distal to, said first taper.

10           8. A modular connection according to claim 7 wherein said second concentric wall is disposed on the first element coaxial with, and distal to, said second taper.

15           9. A modular connection according to claim 4 wherein said first concentric wall is located internally of said second concentric wall.

20           10. A modular connection according to claim 9 wherein said first concentric wall is deformed so as

- 18 -

to be pressure locked against said second concentric wall.

5           11. A modular connection according to claim 10 wherein said first concentric wall is expanded so as to be pressure locked against said second concentric wall.

10           12. A modular connection according to claim 11 wherein said second concentric wall is formed along a portion of a sidewall defining an aperture in a first element, and said first concentric wall is formed on a projection of a second element, and further wherein  
15           said first concentric wall is expanded by insertion of a third element into a recess formed in the second element.

20           13. A modular connection according to claim 12 wherein the aperture extends completely through the first element.

- 19 -

14. A modular connection according to claim 12 wherein the aperture comprises a blind hold formed in the first element.

5           15. A modular connection according to claim 12 wherein the aperture comprises a pair of parallel openings.

10           16. A modular connection according to claim 12 wherein said taper junction and said engaged-fit junction axially overlap one another.

15           17. A modular connection according to claim 4 wherein said first concentric wall is contracted so as to be pressure locked against said second concentric wall.

20           18. An orthopedic component comprising a first element and a second element, with the first element and the second element being secured to one another with a modular connection, wherein said modular

- 20 -

connection comprises, in combination, a taper junction and an engaged-fit junction.

19. An orthopedic component according to claim  
5 18 wherein said taper junction is formed by the interaction of a first taper with a second taper.

20. An orthopedic component according to claim  
19 wherein said second taper is formed along a portion  
10 of a sidewall defining an aperture in said first element, and said first taper is formed on a projection of said second element.

21. An orthopedic component according to claim  
15 18 wherein said engaged-fit junction is formed by the interaction of a first concentric wall with a second concentric wall.

22. An orthopedic component according to claim  
20 21 wherein said second concentric wall is formed along a portion of the sidewall defining an aperture

- 21 -

extending in said first element, and said first concentric wall is formed on a projection of said second element.

5           23. An orthopedic component according to claim  
18 wherein:

          said taper junction is formed by the interaction  
of a first taper with a second taper, said second  
taper being formed along a portion of a sidewall  
10 defining an aperture in said first element, and said  
first taper being formed on a projection of said  
second element; and

          said engaged-fit junction is formed by the  
interaction of a first concentric wall with a second  
15 concentric wall, with said second concentric wall  
being formed along a portion of a sidewall defining  
the aperture in said first element, and said first  
concentric wall is formed on a projection of said  
second element.

20

- 22 -

24. An orthopedic component according to claim  
23 wherein said first concentric wall is disposed on  
the projection of the second element coaxial with, and  
distal to, said first taper.

5

25. An orthopedic component according to claim  
24 wherein said second concentric wall is disposed on  
the first element coaxial with, and distal to, said  
second taper.

10

26. An orthopedic component according to claim  
21 wherein said first concentric wall is located  
internally of said second concentric wall.

15

27. An orthopedic component according to claim  
26 wherein said first concentric wall is deformed so  
as to be pressure locked against said second  
concentric wall.

20

28. An orthopedic component according to claim  
27 wherein said first concentric wall is expanded so



- 23 -

as to be pressure locked against said second concentric wall.

29. An orthopedic component according to claim  
5 28 wherein said second concentric wall is formed along  
a portion of a sidewall defining an aperture in said  
first element, and said first concentric wall is  
formed on a projection of said second element, and  
further wherein said first concentric wall is expanded  
10 by insertion of a third element into a recess formed  
in said second element.

30. An orthopedic component according to claim  
29 wherein the aperture extends completely through the  
15 first element.

31. An orthopedic component according to claim  
29 wherein the aperture comprises a blind hole formed  
in the first element.

20

- 24 -

32. An orthopedic component according to claim  
29 wherein the aperture comprises a pair of parallel  
openings.

5           33. An orthopedic component according to claim  
29 wherein said taper junction and said engaged-fit  
junction axially overlap one another.

10           34. An orthopedic component according to claim  
21 wherein said first concentric wall is contracted so  
as to be pressure locked against said second  
concentric wall.

15

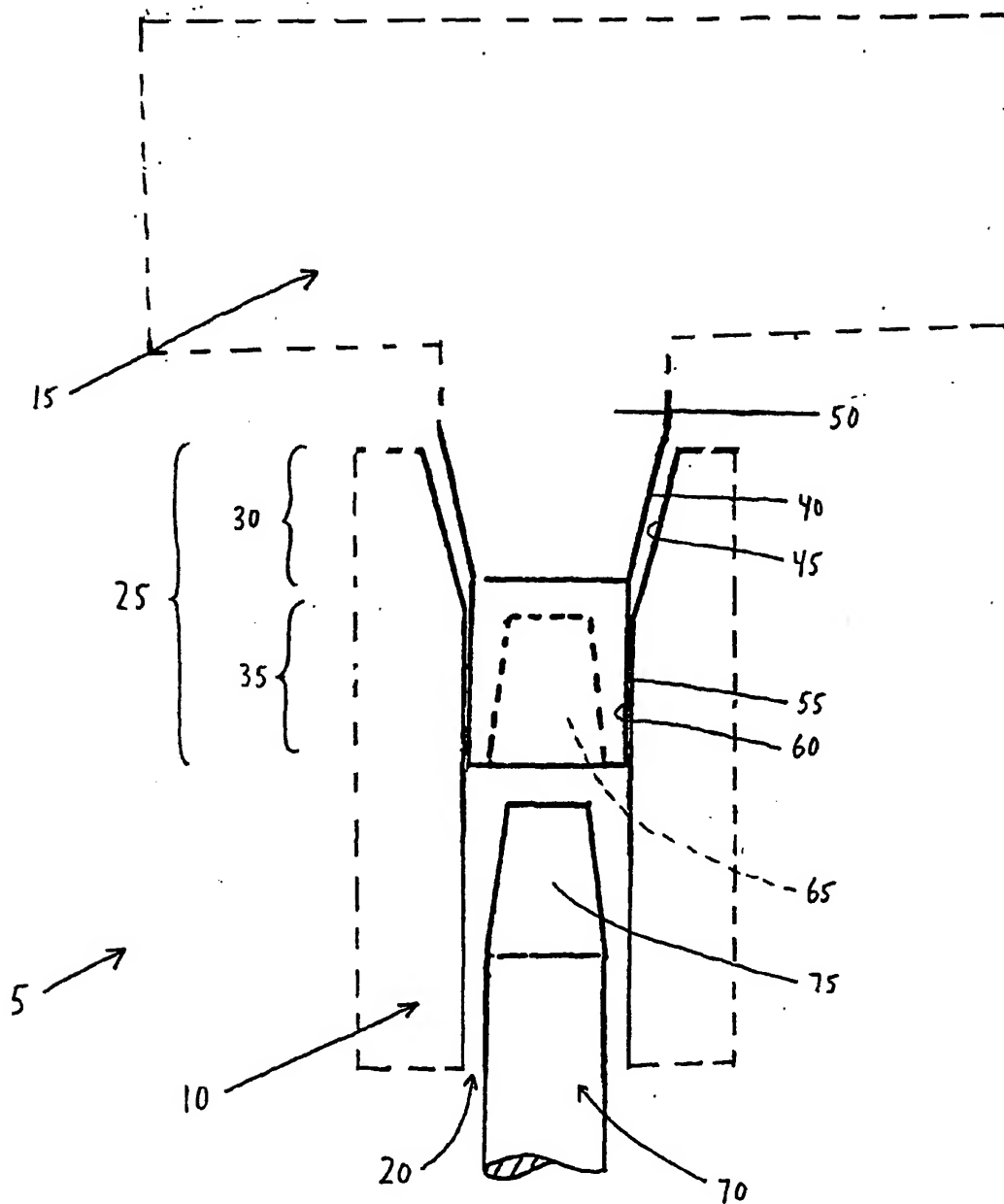


FIG. 1

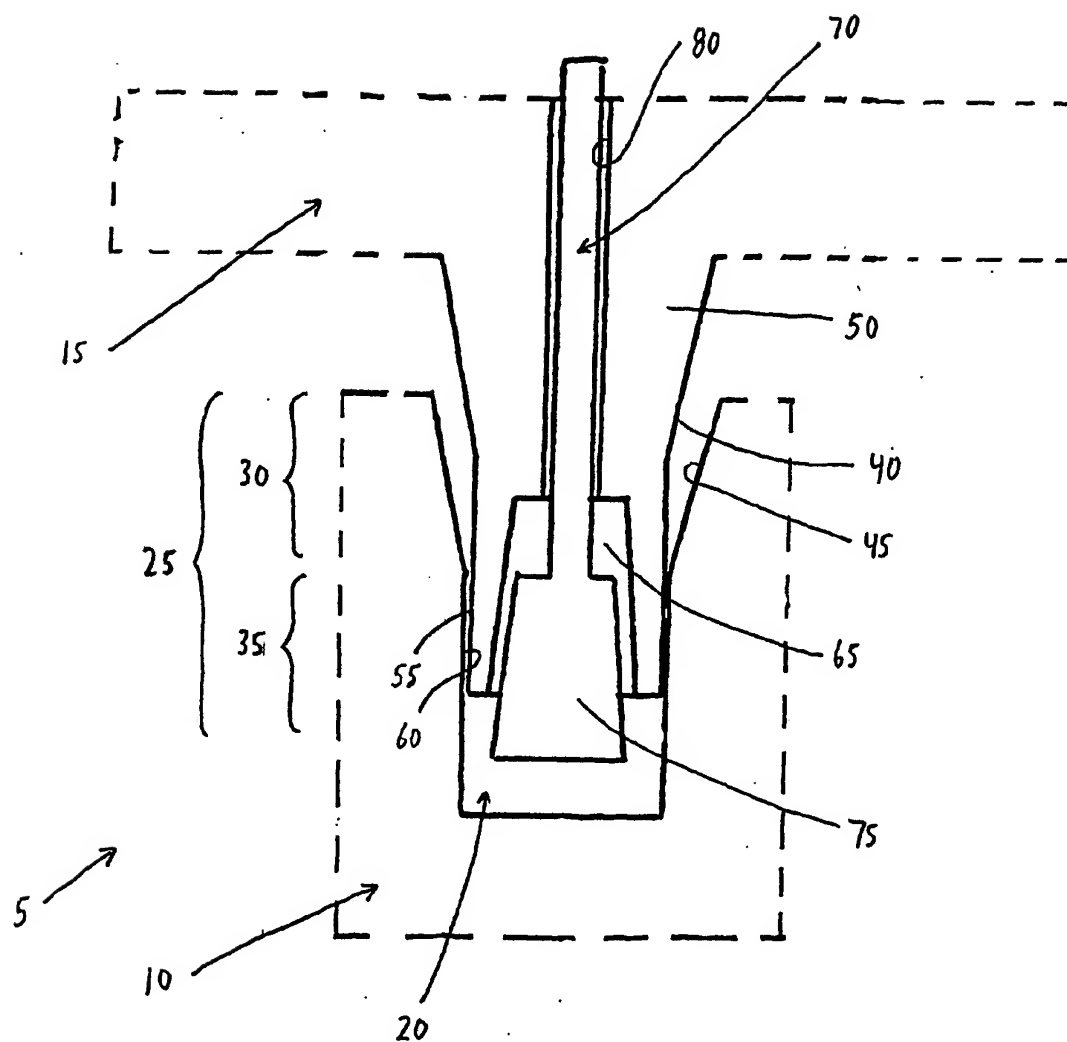


FIG. 2

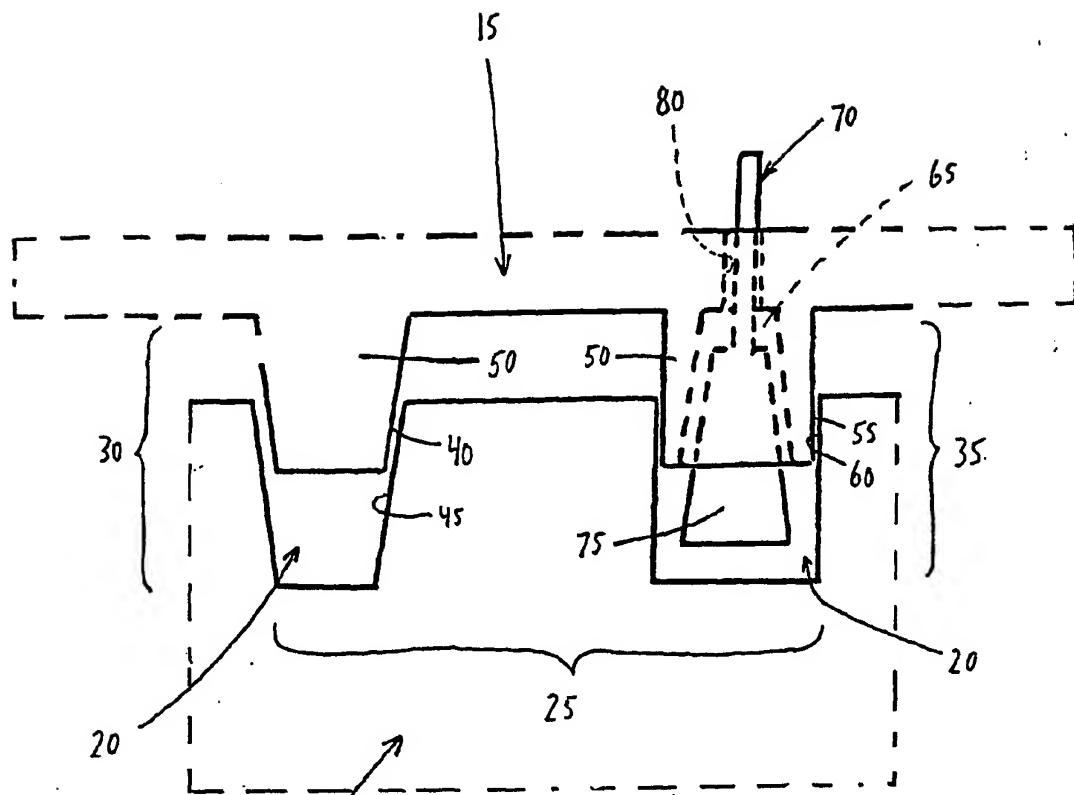


FIG. 3

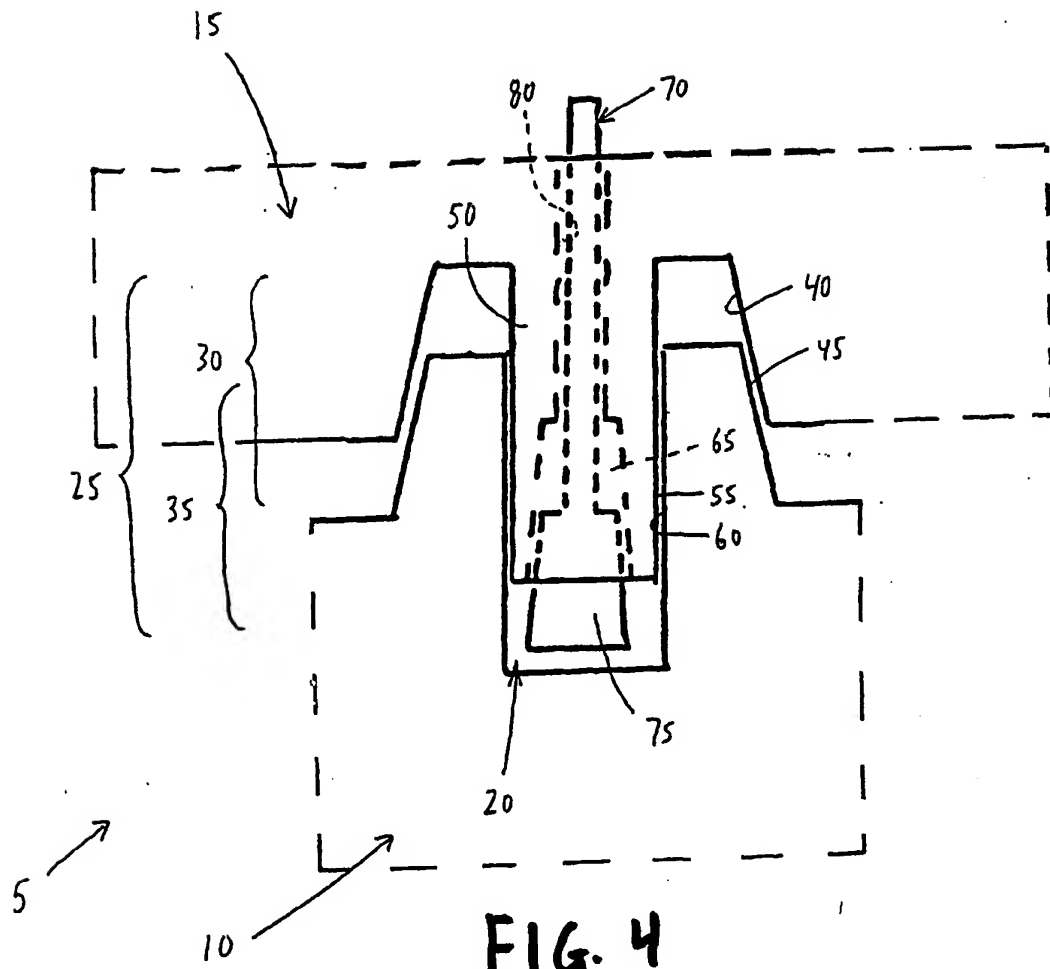


FIG. 4

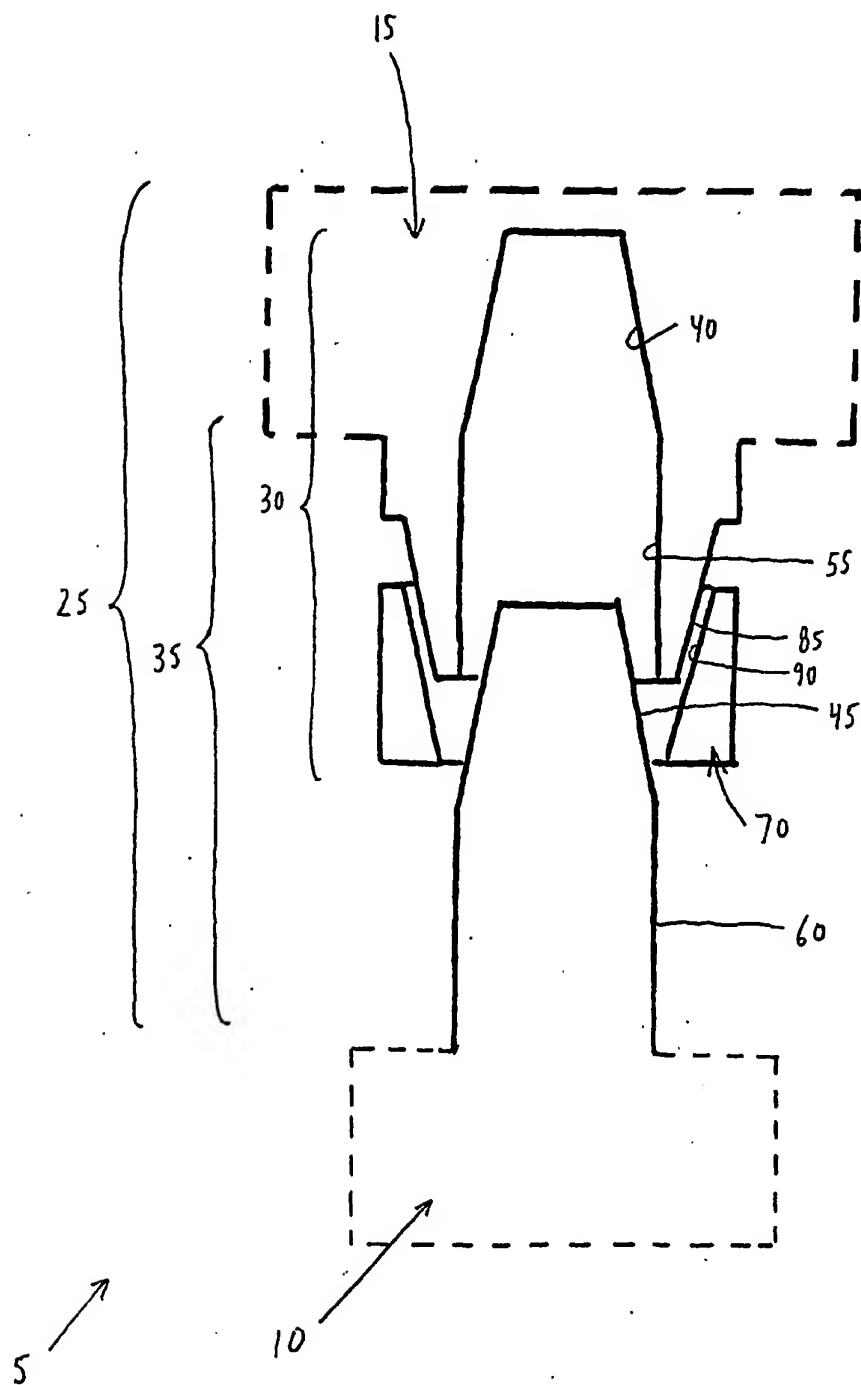


FIG. 5